

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
50T5690.01

In Re Application Of: **Tenko Yamashita**

Serial No.
Unassigned

Filing Date
Filed Herewith

Examiner
Unassigned

Group Art Unit
Unassigned

Title: **SILICON-ON INSULATOR (SOI) SUBSTRATE HAVING DUAL SURFACE CRYSTALLOGRAPHIC ORIENTATIONS AND METHOD OF FORMING SAME**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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ORIENTATIONS AND METHOD OF FORMING SAME**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-1047**
as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

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EXPRESS

I certify that this document and fee is being deposited
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1450, Alexandria, VA 22313-1450.

Magnus Mariani
Signature of Person Mailing Correspondence

MARJORIE SLAMATI
Typed or Printed Name of Person Mailing Certificate

***This certificate may only be used if paying by
deposit account.**

Keum J. Park
Signature

Dated: *March 12, 2004*

Keum J. Park Reg. No. 42,059
Mayer Fortkort & Williams, PC
251 North Avenue West, 2nd Floor
Westfield, NJ 07090

Tel.: 908-518-7700

CC:

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

50T5690.01

Application Number

Unassigned

Applicant(s)

Tenko Yamashita

Filing Date

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Group Art Unit

Unassigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	1	Momose, H.S. et al., "1.5-nm Gate Oxide CMOS on (110) Surface-Oriented Si Substrate," IEEE Transactions On Electron Devices, Vol. 50, No. 4, April 2003, pp. 1001-1008.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.